O caminho para o sucesso – Critérios de avaliação

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Experiência pessoal



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Europe's most ambitious innovation initiative

European

Council

- €1.2 billion Programme to identify, develop and scale up breakthrough technologies and disruptive innovations in Europe
- Unique in the world to combine research on emerging technologies with Accelerator for startups, SMEs and scaleups
- Enhances the European innovation
 ecosystem

Personal experience: ERC & EIC Pathfinder Open (formerly FET-Open)



- Making a bet on the chosen scientific path
- The probability of winning is proportional to the effort
- Retain rejections as learning

The research grant application process.

ERC is an individual grant Excellence in basic research, not necessary to reach a proof of concept (PoC)





EIC Pathfinder Open is a collaborative project Future and Emerging Technologies (applicative) Expected to reach a PoC in the scope of the project

European Innovation Council



Ambitious & high-risk projects Pioneering, groundbreaking science Long term vision and high gain Expected novelty

First European Research Council (ERC) experience



2011

First experiences at the European Innovation Council (FET-OPEN)





Flow Induced Phase Transitions A New Low Energy Paradigm For Polymer Processing

- Lack of deliverables/milestones to monitor progress throughout the duration of the project
- Risk and proposals for risk
- mitigation measures that are too general
- Added value of interdisciplinarity
 not convincingly addressed
- Feasibility questioned

New experiences at FET-OPEN & ERC

New idea – Sep 2014

European Innovation Attempt 3 – Sep 2015 Council

Vision of developing novel and efficient antifungal strategies through mimesis of the defensive polyester barrier in plants



Major improvements in feasibility (scalability demonstration eliminated; lower TRL)



- Acquire greater competence in the preparation of proposals
- Project management training
- Peer-review & peer-pressure

[Integrate evalution committes]



- Excellence/Impact/Implementa tion are equally important
- Details matter
- Feedback from reviewers is important
- Contradictory statements weaken the proposal



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 the proposal

Learning-path

- Interdisciplinary promotes creativity
- Long-term vision!
- Don't do it alone: establish collaborations
- Write with the collaborators; once approved you will work with them
- Know your reviewers/audience/funding body
- Be nice to the reviewers: clear message and consistency in writing
- The likelihood of funding is correlated directly with preparation time

Fantasy



Technical/scientific merits alone determine winners Write for the top experts in your field Don't ask colleagues to review

Ignore the guidelines reviewers don't care

What Peers (evaluators) Want

- Innovation & significance
- Responsiveness to program
- Care in writing proposal
- Capability to accomplish objectives

Reality



Reviewers look for the "big idea"

Reviewers also look for reasons to deny proposals Reviewers are not always experts

Managers influence the process

What Managers Want

- Proposals that fulfill programmatic priorities
- No duplication of work
- Investigators who are good to work with
- Always deliver on promises

Pathfinder meaning

- a person who <u>finds or</u> <u>makes a path, way, route</u>, etc., through a <u>previously</u> <u>unexplored</u> or untraveled wilderness
- a person finds a new way of doing something





Review panel categories.

Agile funding from idea to investment => Pathfinder for advanced research on emerging technologies



The EIC Pathfinder Programme funds research to develop the scientific basis to underpin breakthrough technologies

open to support projects in any field of science, technology or application without predefined thematic priorities.

What a EIC Pathfinder proposal must have





Impact

Aim to realize an **ambitious vision** for radically new technology with potential to create new markets and/or to address global challenges

What are the essential <u>characteristics</u> of the EIC Pathfinder OPEN

Convincing, long term vision of a radically new technology, societally impactful

Concrete, novel and ambitious science towards technology breakthrough Collaborative, interdisciplinary research



European Innovation

High risk high gain research approach and methodology with concrete and plausible objectives

EIC

Pathfinder

high risky: try new things, solve new questions and deal with the unknowns of the problem.



An excellent idea is crucial for funding, but insufficient



What are the expected outcomes of an EIC Pathfinder Open project





Involve/empower key actors having potential to be the future leaders in their fields (e.g. early career researchers and/or high tech SMEs) (empoyer female WP leaders)

The "Excellence" criterion



How concrete, novel and ambitious is the proposed science towards the tech. revolution with respect to the state of the art? What tangible advances (not incremental) will provide?

Interdisciplinarity

How relevant is the interdisciplinary?

Are the proposed objectives concrete & plausible; supported by suitable high risk/high gain research approach and methodology?

The "Impact" criterion

Innovation

Potential

The proposed measures for (i) protection and exploitation of results and (ii) empowering the proper key actors to lead innovation are sufficient to secure future translation into societal/economic impactful innovations? Long-term impact vs innovation potential!

Communication & Dissemination

Open access to publications; Research Data Management in line with the FAIR principles; open access to research data 'as open as possible as closed as necessary'. The proposed measures/plans for public/stakeholder engagement and for raising awareness about the project outcomes (includes <u>Open Science</u>) are convincing and broad with respect to their potential impacts? Are these quantifiable, concrete and original?

The "Quality and efficiency of the implementation" criterion



The work plan (work packages, tasks, deliverables, milestones, timeline, etc.) and risk mitigation measures are coherent and effective to reach the objectives?

> How correct and effective is the resources distribution (PMs and equipment)?

Additional questions Checklist – 8 Items



Scope of the application

Additional funding (if essential).

Use of human embryonic stem cells (hESCs)

Use of human embroyos (hE) Activities not eligible for funding.

Exclusive focus on civil applications.

Do no significant harm principle.



Artificial Intelligence (assess the technical robustness).



The DNSH principle needs to be taken into consideration in the scientific methodology under the Excellence criterion and impact of the project

Unlocking funding



- Evaluated and scored individually by 4 EIC expert evaluators (score for each criterion is the median of the evaluators' scores) – interdisciplinarity may lead to divergent scoring
- The evaluation committee (different experts) decides on the final score harmonizing the scores of the first phase and the outcome of its analysis/discussions.
- Clarification of divergent opinions may involve consulting evaluators of the first phase – unbalanced quality in the distinct disciplines weakens the proposal









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