

Seminário | Horizonte 2020

Aspectos Financeiros e Gestão de Projetos

1 de julho de 2014

O HORIZONTE 2020

Joana Camilo (NCP) | FCT (GPPQ)

NCP para o Desafio Saúde, Alterações Demográficas e Bem-estar do H2020



Hoje em “O Horizonte 2020”

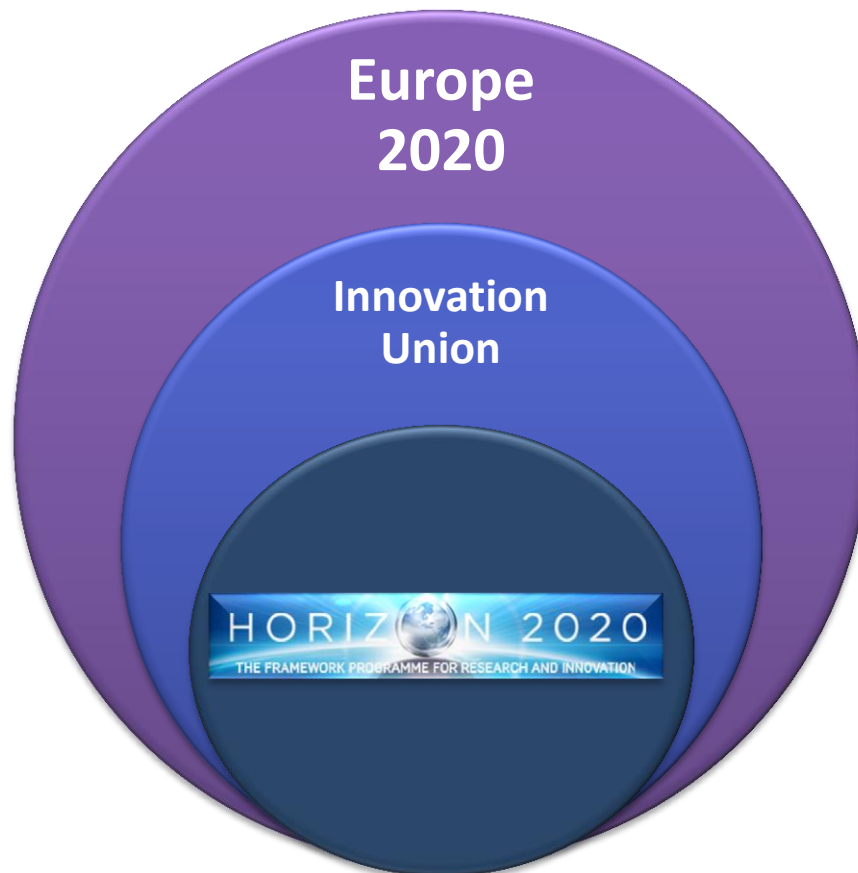
Descrição do H2020

Instrumentos

Aspectos práticos

Contexto do HORIZONTE 2020 (II)

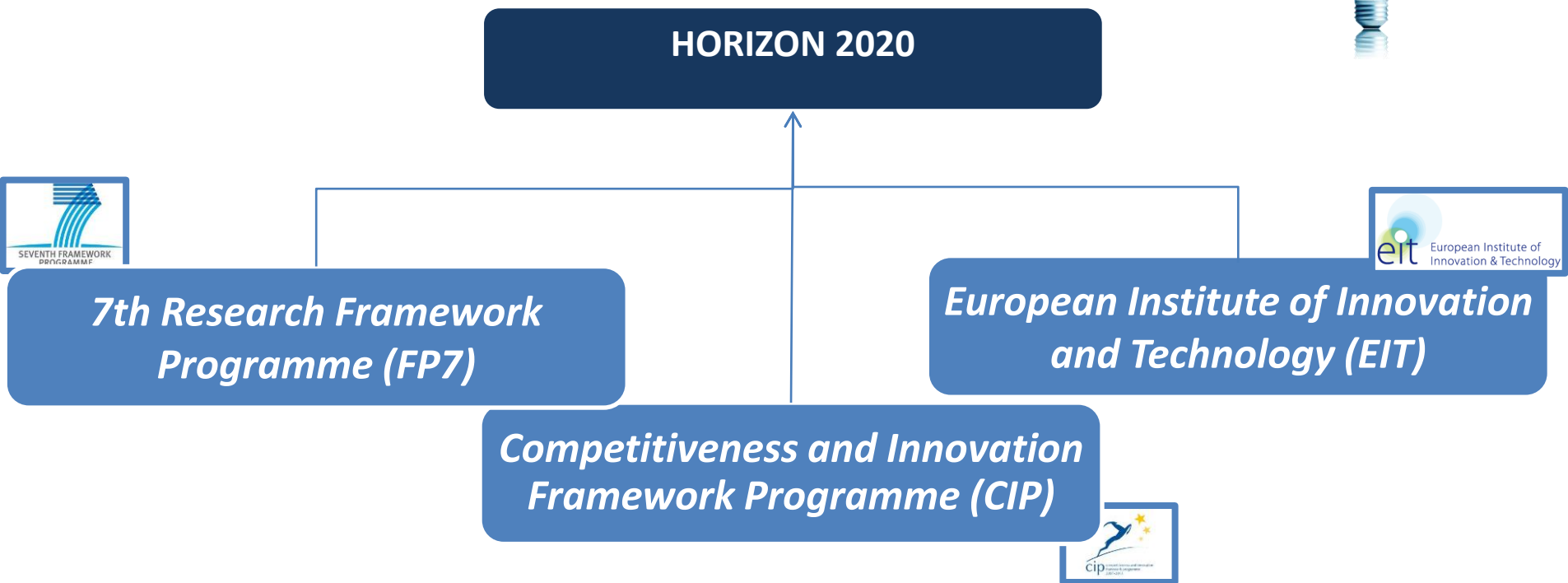
Estratégia Europa 2020 | 7 Iniciativas emblemáticas



**Principal instrumento de financiamento para
implementar a Europa da Inovação**



HORIZONTE 2020 | Novidades (I)



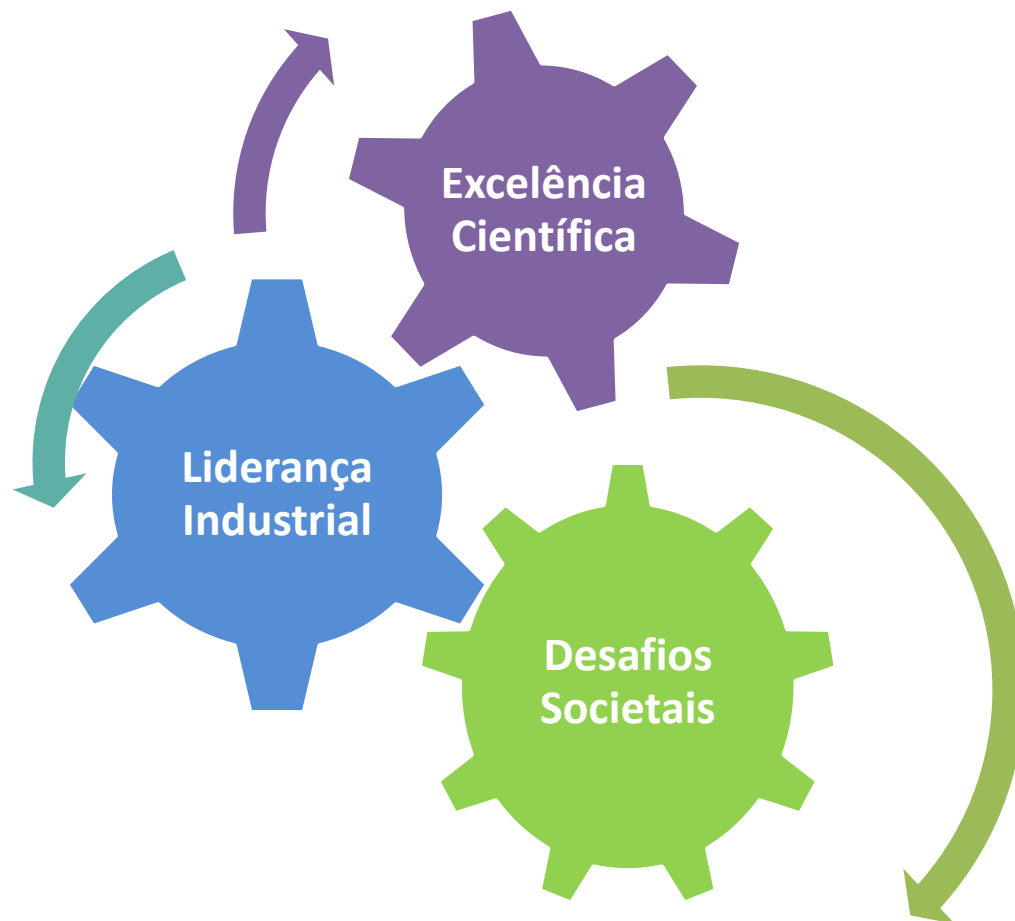


HORIZON 2020

- **Acoplar a investigação à inovação** – todas as formas de inovação
- **Enfoque em Desafios Societais que afetam a sociedade Europeia** e.g. saúde, energia limpas, alterações climáticas, etc.
- **Acesso simplificado** a todas as empresas, universidades e institutos dentro e fora da Europa

HORIZONTE 2020 | 2014-2020 ~78 mil milhões euros

Apoio à investigação , via cofinanciamento de projetos de **investigação, inovação**



Excelência científica

- Reforçar e ampliar a excelência da ciência europeia, implementando o Espaço Europeu de Investigação -> tornar o sistema de I&D mais competitivo a nível global

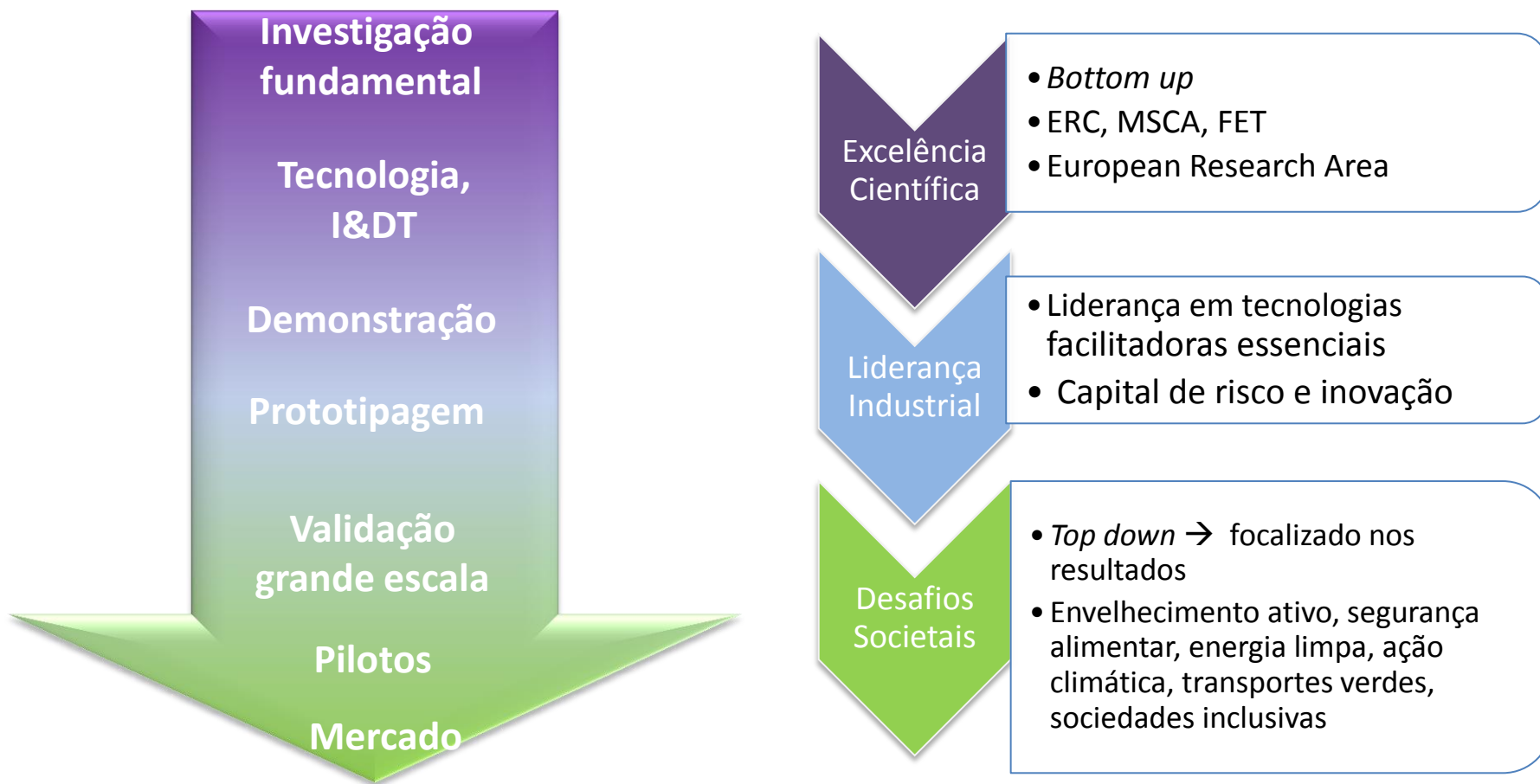
Liderança industrial

- Tornar a Europa um destino atrativo p/ investimento em I&D&I, eliminando barreiras de mercado (investimento em tecnologias chave, atraindo capital de risco, facilitando o crescimento de PME)

Desafios sociais

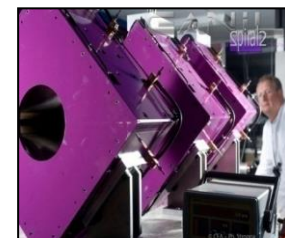
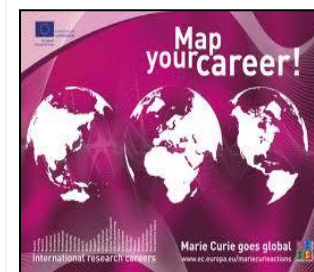
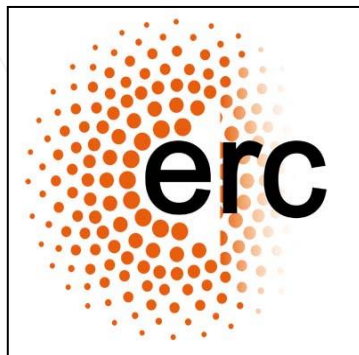
- Resolver os desafios europeus identificados na estratégia 'Europa 2020', os quais requerem massa crítica, cooperação internacional e uma ação coordenada dos EM

Abordagem integrada – cobertura de todo o ciclo de I&I



HORIZONTE 2020 | Pilar I – Excelência Científica

1. **ERC**, aberto a todos os temas, investigadores jovens promissores (*Starters, Consolidators*) e estabelecidos (*Advanced Grants, Synergy* e *Proof of Concept* – **orçamento proposto duplica relativamente ao 7ºPQ.**
2. **FET**, Future and Emerging Technologies, **ideias novas, radicais e com risco elevado para acelerar o desenvolvimento em áreas emergentes da ciência e da tecnologia**, incluindo *FET-Open* (novos conceitos), *FET-Proactive* (explorar ideias promissoras), e *FET Flagships* (para obter “breakthroughs”).
3. Bolsas **Marie-Curie**, internacionais (entradas e saídas) e entre sectores (universidade-Indústria), inc. **doutoramentos em ambiente industrial.**
4. **Infra-estruturas de Investigação** de Classe Mundial, acessíveis a todos os investigadores.



HORIZONTE 2020 | Pilar II - Liderança Industrial

Tecnologias Facilitadoras Essenciais e industriais

KET

- ✓ **Tecnologias de Informação e Comunicação** – micro-eletrónica, fotónica, sistemas embebidos, nova geração da computação, internet do futuro, robótica
- ✓ **Nanotecnologias** – nano-materiais, nano-dispositivos e nano-sistemas
- ✓ **Materiais Avançados** – novos materiais com novas funcionalidades e desempenho melhorado, maior eficiência energética e baixo impacto ambiental
- ✓ **Biotecnologia** – processos e produtos industriais para o sector da agricultura, florestas, alimentação, químico e saúde.
- ✓ **Processos e Fabrico Avançados** – tecnologias de produção e processos
- ✓ **Espaço** – infra estruturas espaciais
- Acesso a Financiamento de Risco
- Inovação em PME



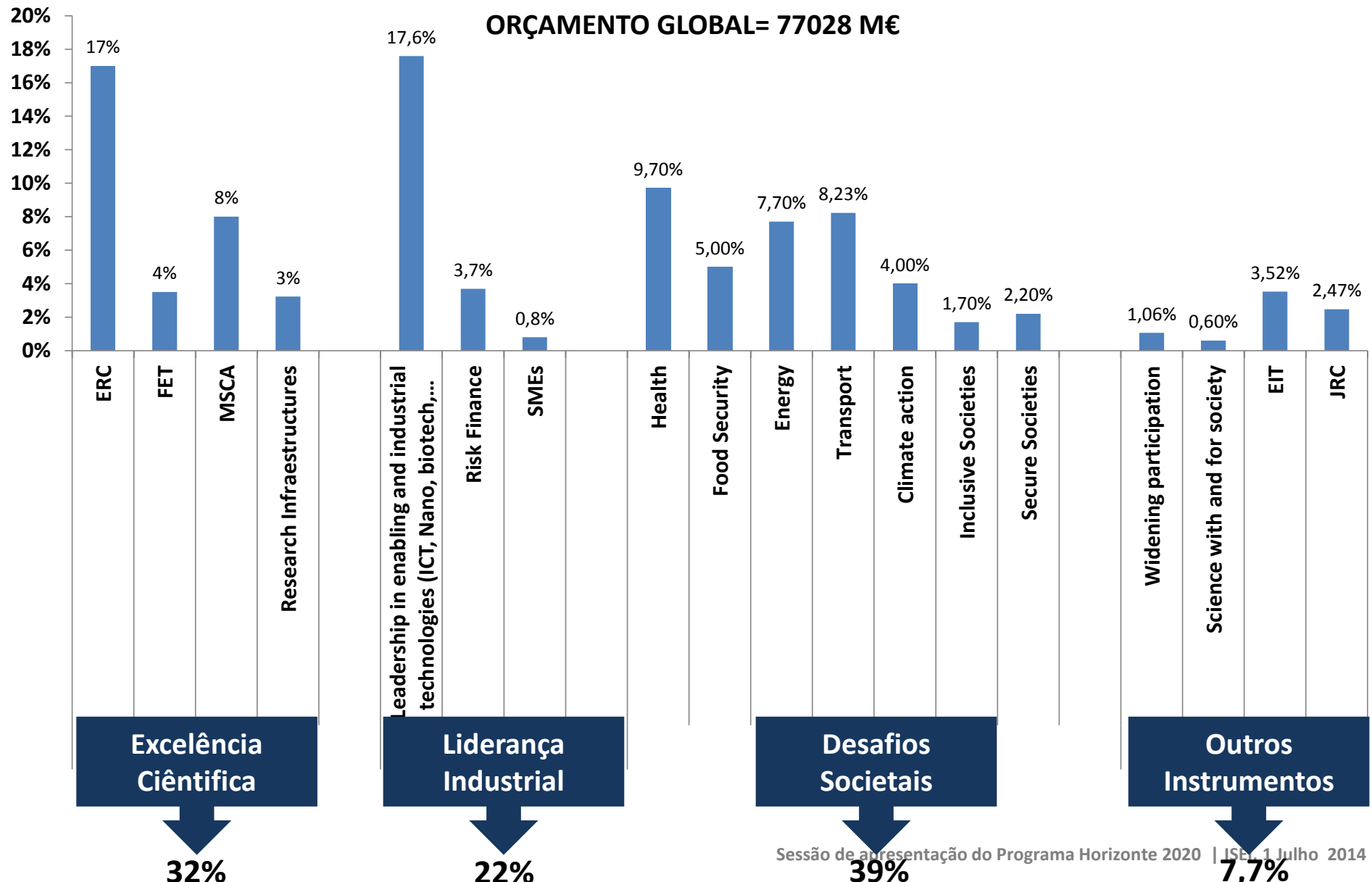
HORIZONTE 2020 | Pilar III – Desafios Societais

1. **Saúde, alterações demográficas e bem-estar**
2. **Bioeconomia, incluindo segurança alimentar, agricultura e florestas sustentáveis, investigação marinha, marítima, em lagos e em rios**
3. **Energia segura, eficiente e sustentável**
4. **Transportes inteligentes, verdes e integrados**
5. **Ação Climática, eficiência na utilização de recursos e matérias primas**
6. **A Europa num Mundo em mudança - Sociedades inclusivas, inovadoras e pensadoras**
7. **Sociedades seguras - proteção da segurança da Europa e dos seus cidadãos**



HORIZONTE 2020 | Orçamento 2014-2020

ORÇAMENTO GLOBAL= 77028 M€



HORIZONTE 2020 | Potenciar sinergias



- ❖ A abordagem aos desafios sociais deve basear-se em **consórcios multidisciplinares** que agreguem **diferentes atores numa abordagem holística e integradora**
- ❖ A cooperação entre os vários atores da cadeia de valor potencia o sucesso e o *uptake* dos resultados contribuindo para um **maior impacto económico, social e ambiental**

Networking!



HORIZONTE 2020 | Entidades participantes

Entidades Elegíveis a financiamento:

- ✓ Qualquer entidade legal estabelecida num EM ou EA ou constituídas nos termos do direito da União
- ✓ Organizações internacionais de interesse europeu
- ✓ Entidades jurídicas estabelecidas num país terceiro identificado no *WP*
 - ✓ Exceção no Desafio Saúde: participação e **financiamento** de entidades dos EUA



Tipo de entidades elegíveis :

Todas as relevantes (Universidades, centros de investigação, tecido empresarial, associações de doentes, sociedade civil, hospitais, entidades reguladoras, etc.)



	Eligibility conditions^{6,7}
Research & innovation action	At least three legal entities. Each of the three shall be established in a different Member State or associated country. All three legal entities shall be independent of each other.
Innovation action	At least three legal entities. Each of the three shall be established in a different Member State or associated country. All three legal entities shall be independent of each other
Coordination & support action	At least one legal entity established in a Member State or associated country.
SME instrument	At least one SME ⁸ . Only applications from for-profit SMEs established in EU Member States or countries associated to Horizon 2020 ⁹ ;

eligibility
t a

(European Commission Decision C (2013)8631 of 10 December 2013)

Including correction of clerical errors following Corrigendum C(2014)1509

HORIZONTE 2020 | Programa de Trabalho – taxas de financiamento

TIPO DE AÇÃO	FINANCIAMENTO	OBJETIVO
Projetos em Colaboração Ações de Investigação & Inovação (RIA, <i>Research & Innovation Actions</i>)	100%	<ul style="list-style-type: none"> ▪ Investigação básica e aplicada ▪ Desenvolvimento de tecnologia e de integração ▪ Teste e validação de um protótipo em pequena escala, em laboratório ou ambiente simulado
Projetos em Colaboração Ações de Inovação (IA, <i>Innovation Actions</i>)	70% (exceto Univ., entidades públicas e Inst. s/ fins lucrativos – 100%)	<ul style="list-style-type: none"> ▪ Protótipos, testes, demonstradores, pilotos ▪ Validação do produto em grande escala e replicação no mercado
Ações de Coordenação e Suporte (CSA, <i>Coordination and Support Actions</i>)	100%	<ul style="list-style-type: none"> ▪ Criação de redes de coordenação ou de apoio ▪ Diálogo político e exercícios mútuos de aprendizagem, incluindo estudos de conceção de novas infraestruturas ▪ Uniformização, divulgação, sensibilização e comunicação
Instrumento para as PME (SME <i>instrument</i>)	70% (excepto no DS1 – 100%)	<ul style="list-style-type: none"> ▪ Dirigido a PME orientadas para a internacionalização, crescimento e inovação

HORIZONTE 2020 | Abordagem baseada em desafios

Programas de Trabalho mais estratégicos

- Concentração em áreas **onde a ação a nível europeu tem maior impacto**
- Com um conjunto coerente de ações de **investigação para a inovação**
- **Maior integração entre as diferentes partes do Horizonte 2020**, em particular entre os desafios sociais e as tecnologias facilitadoras essenciais

Concursos menos focalizados

- É dada **maior liberdade aos candidatos** para proporem soluções inovadoras
- **Maior ênfase no impacto**
- Estímulo **aos projetos multidisciplinares** numa **abordagem multi ator**, incluindo as ciências sociais e humanas e TIC
- Menos tópicos mas definidos de uma forma mais ampla, com possibilidade de **financiamento de vários projetos**
- **Apoio à transferência de conhecimentos, à divulgação dos resultados e à adoção da inovação pelo mercado**

Programas bianuais

- Maior envolvimento na definição dos programas de trabalho
- Informação antecipada para os participantes

Calls - Sinergias

A multidisciplinaridade dos projectos é extremamente valorizada no Horizonte 2020.

Se já conhece bem os tópicos do seu Tema, consulte outras oportunidades que o H2020 lhe poderá proporcionar noutros Temas.

Conheça as sinergias entre os diversos Temas do H2020 nos concursos que foram abertos em 2013 (WP2014). A tabela abaixo indica possíveis sinergias entre os Temas, identificando quais os tópicos com interesse para cada tema (linha) que poderão encontrar nos programas de trabalho dos outros temas (colunas).

Para mais esclarecimentos, contacte o seu NCP.

	FET	NMP+B	ICT	Espaço	Saúde	Bioeconomia	Energia	Transportes	Clima	Booledades	Segurança
NMP+B	Ver		Ver	Ver	Ver	Ver	Ver	Ver	Ver		
ICT	Ver	Ver		Ver	Ver	Ver	Ver	Ver	Ver	Ver	Ver
Espaço	Ver	Ver	Ver			Ver	Ver	Ver	Ver		
Saúde	Ver	Ver	Ver	Ver		Ver	Ver				Ver
Bioeconomia	Ver	Ver	Ver	Ver	Ver		Ver		Ver		
Energia	Ver	Ver	Ver	Ver		Ver		Ver	Ver		
Transportes	Ver	Ver	Ver	Ver		Ver	Ver				Ver
Clima	Ver	Ver	Ver	Ver	Ver	Ver	Ver	Ver			Ver
Booledades	Ver	Ver	Ver	Ver	Ver	Ver	Ver	Ver	Ver		Ver
Segurança	Ver	Ver	Ver	Ver				Ver			

Saúde << NMP+B

EeB-07-2015	New tools and methodologies to reduce the gap between predicted and actual energy performances at the level of buildings and blocks of buildings	d.l. 09-12-2014
BIOTEC-1-2014	Synthetic biology – construction of organisms for new products and processes	d.l. 12-03-2014
BIOTEC-3-2014	Widening industrial application of enzymatic processes	d.l. 12-03-2014
BIOTEC-2-2015	New bioinformatics approaches in service of biotechnology	d.l. 24-02-2015
BIOTEC-6-2015	Metagenomics as innovation driver	d.l. 24-02-2015
NMP-10-2014	Biomaterials for the treatment of diabetes mellitus	d.l. 06-05-2014
NMP-26-2014	Joint EU & MS activity on the next phase of research in support of regulation 'NANOREG II'	d.l. 06-05-2014
NMP-11-2015	Nanomedicine therapy for cancer	d.l. 26-03-2015
NMP-12-2015	Biomaterials for treatment and prevention of Alzheimer's disease	d.l. 26-03-2015
NMP-23-2015	Novel materials by design for substituting critical materials	d.l. 26-03-2015
NMP-30-2015	Next generation tools for risk governance of nanomaterials	d.l. 26-03-2015
NMP-09-2014	Networking of SMEs in the nano-biomedical sector	d.l. 06-05-2014
NMP-08-2014	Scale-up of nanopharmaceuticals production	d.l. 06-05-2014
NMP-02-2015	Integration of novel nano materials into existing production lines	d.l. 26-03-2015
NMP-03-2015	Manufacturing and control of nanoporous materials	d.l. 26-03-2015
NMP-06-2015	Novel nanomatrices and nanocapsules	d.l. 26-03-2015

Hoje em “O Horizonte 2020”

Descrição do H2020

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RESEARCH & INNOVATION

Participant Portal

European Commission > Research & Innovation > Participant Portal > Home

HOME

FUNDING OPPORTUNITIES

HOW TO PARTICIPATE

EXPERTS

SUPPORT ▾



LOGIN



REGISTER



On this site you can find and secure **funding** for research & innovation projects under the following EU programmes:

- **2014-2020** Horizon 2020 - research and innovation framework programme
- **2007-2013** 7th research framework programme (FP7) and Competitiveness & Innovation Programme (CIP)

Non-registered users

- search for funding
- read the H2020 Online Manual & download the legal documents
- check if an organisation is already registered
- contact our support services or check our FAQs

Registered users

- submit your proposal
- sign the grant
- manage your project throughout its lifecycle



INFORMATION
AND SUPPORT

FIND INFORMATION AND SUPPORT



To find practical guidance on using the portal, refer to the How to participate and Support menus. The following sources are available:

- **H2020 online manual**, accessible on all the portal pages, leads you through all the processes starting from the proposal preparation and through the entire project lifecycle
- **Reference documents** page gives you access to the work programmes, legal and guidance documents
- search for your **National Contact Points (NCPs)** or contact additional support networks and helpdesks
- check out the frequently asked questions (**FAQ**)
- contact the Participant Portal **IT helpdesk**

H2020 Online Manual

- My Area - User account & roles
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 - › Terms and Conditions of Use
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 - › What you need to know about Horizon 2020 calls
 - › Find partners or apply as individual
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 - › Registration of your organisation
 - › LEAR appointment
 - › Validation of potential beneficiaries
 - › Financial viability check
 - › Data update
 - › Certifications
 - › Submit a proposal
- From evaluation to grant signature
 - › Eligibility check
- Evaluation of proposals
 - › Eligibility and evaluation criteria
 - › Evaluation process and results

My Area - User account & roles

Grants

Applying for funding

Find a call

Find partners

Register an organisation

Submit a proposal

Evaluation & Grant signature

Eligibility check

Evaluation of proposals

Grant preparation

Grant signature

Grant management

Keeping records

Amendments

Reports & payment requests

Deliverables

Dissemination & exploitation of results

Check, audits, reviews & investigations

Working as an expert

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Contracting & payment

Expert roles & tasks

Cross-cutting issues

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Ethics

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Horizon 2020

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Calls



Call Updates



Other EU Programmes 2014-2020

Research Fund for Coal & Steel

COSME

3rd Health Programme

Consumer Programme

FP7 & CIP Programmes 2007-2013

Calls



Call Updates



Other Funding Opportunities

INDIVIDUAL FELLOWSHIPS (IF)

H2020-MSCA-IF-2014

Publication date	12-03-2014	Deadline Date	11-09-2014 17:00:00 (Brussels local time)
Budget	€240,500,000	Main Pillar	Excellent Science
Status	Open	OJ reference	OJ C361 of 11 December 2013

Call description

Call documents

Get support

Call updates

- 20-06-2014 14:14:23

Frequently Asked Questions Individual Fellowship has been updated

The European Commission (DG EAC, Marie Skłodowska-Curie Unit) has organised a **web-streamed training** on **Tuesday, 10 June 2014**, in collaboration with the Research Executive Agency (REA).

HORIZONTE 2020 | Preparação da proposta -> two stage/single stage

Research and Innovation Actions

<i>First stage</i>	<i>Second stage & single stage topics</i>
<p><u>'Short outline proposal'</u> - Part A: no budget breakdown requested - Part B: máx. 7/15 pages</p>	<p><u>'Full proposal'</u> - Part A: budget breakdown - Part B: máx. 70 pages (RIA/IA)</p>
<p><u>Evaluation criteria</u> 1. Excellence (4/5) 2. Impact (4 ou 3 /5) (min. 4 per individual criterion)</p>	<p><u>Evaluation criteria</u> 1. Excellence (4/5) 2. Impact (4/5) 3. Quality and efficiency of the implementation (3/5) (Cummulative threshold:12/15; IA: 1,5 factor no critério Impacto)</p>



I

**Proposal template
(technical annex)**

*Research and Innovation actions
Innovation actions*

Please follow the structure of this template when preparing your proposal. It has been designed to ensure that the important aspects of your planned work are presented in a way that will enable the experts to make an effective assessment against the evaluation criteria. Sections 1, 2 and 3 each correspond to an evaluation criterion for a full proposal.

⚠ First stage proposals: In two-stage submission schemes, at the first stage you only need to complete the parts indicated by a bracket (i.e. $\{ \}$). These are in the cover page, and sections 1 and 2.

⚠ Page limit: For full proposals, the cover page, and sections 1, 2 and 3, together should not be longer than 70 pages. All tables in these sections must be included within this limit. The minimum font size allowed is 11 points. The page size is A4, and all margins (top, bottom, left, right) should be at least 15 mm (not including any footers or headers).

The page limit for a first stage proposal is 7 pages.

If you attempt to upload a proposal longer than the specified limit, before the deadline you will receive an automatic warning, and will be advised to shorten and re-upload the proposal. After the deadline, any excess pages will be overprinted with a 'watermark', indicating to evaluators that these pages must be disregarded.

Please respect the page limit and do not take it as a target either! It is in your interest to keep your text as concise as possible, since experts rarely view unnecessarily long proposals in a positive light.

COVER PAGE

Title of Proposal

List of participants

Participant No *	Participant organisation name	Country
1 (Coordinator)		
2		
3		

* Please use the same participant numbering as that used in the administrative proposal forms.

Table of Contents



1. Excellence

Your proposal must address a work programme topic for this call for proposals.

▲ This section of your proposal will be assessed only to the extent that it is relevant to that topic.

1.1 Objectives

- Describe the specific objectives for the project¹, which should be clear, measurable, realistic and achievable within the duration of the project. Objectives should be consistent with the expected exploitation and impact of the project (see section 2).

1.2 Relation to the work programme

- Indicate the work programme topic to which your proposal relates, and explain how your proposal addresses the specific challenge and scope of that topic, as set out in the work programme.

1.3 Concept and approach

- Describe and explain the overall concept underpinning the project. Describe the main ideas, models or assumptions involved. Identify any trans-disciplinary considerations;
- Describe the positioning of the project e.g. where it is situated in the spectrum from 'idea to application', or from 'lab to market'. Refer to Technology Readiness Levels where relevant. (See [General Annex G of the work programme](#));
- Describe any national or international research and innovation activities which will be linked with the project, especially where the outputs from these will feed into the project;
- Describe and explain the overall approach and methodology, distinguishing, as appropriate, activities indicated in the relevant section of the work programme, e.g. for research, demonstration, piloting, first market replication, etc;
- Where relevant, describe how sex and/or gender analysis is taken into account in the project's content.

▲ Sex and gender refer to biological characteristics and social/cultural factors respectively. For guidance on methods of sex / gender analysis and the issues to be taken into account, please refer to http://ec.europa.eu/research/science-society/gendered-innovations/index_en.cfm

1.4 Ambition

- Describe the advance your proposal would provide beyond the state-of-the-art, and the extent the proposed work is ambitious. Your answer could refer to the ground-breaking nature of the objectives, concepts involved, issues and problems to be addressed, and approaches and methods to be used.
- Describe the innovation potential which the proposal represents. Where relevant, refer to products and services already available on the market. Please refer to the results of any patent search carried out.

¹ The term 'project' used in this template equates to an 'action' in certain other Horizon 2020 documentation.

2. Impact

2.1 Expected impacts

▲ Please be specific, and provide only information that applies to the proposal and its objectives. Wherever possible, use quantified indicators and targets.

- Describe how your project will contribute to:
 - the expected impacts set out in the work programme, under the relevant topic;
 - improving innovation capacity and the integration of new knowledge (strengthening the competitiveness and growth of companies by developing innovations meeting the needs of European and global markets; and, where relevant, by delivering such innovations to the markets;
 - any other environmental and socially important impacts (if not already covered above).
- Describe any barriers/obstacles, and any framework conditions (such as regulation and standards), that may determine whether and to what extent the expected impacts will be achieved. (This should not include any risk factors concerning implementation, as covered in section 3.2.)

2.2 Measures to maximise impact

a) Dissemination and exploitation of results

- Provide a draft 'plan for the dissemination and exploitation of the project's results' (unless the work programme topic explicitly states that such a plan is not required). For innovation actions describe a credible path to deliver the innovations to the market. The plan, which should be proportionate to the scale of the project, should contain measures to be implemented both during and after the project.
 - Dissemination and exploitation measures should address the full range of potential users and uses; including research, commercial, investment, social, environmental, policy making, setting standards, skills and educational training.
 - The approach to innovation should be as comprehensive as possible, and must be tailored to the specific technical, market and organisational issues to be addressed.
- Explain how the proposed measures will help to achieve the expected impact of the project. Include a business plan where relevant.
- Where relevant, include information on how the participants will manage the research data generated and/or collected during the project, in particular addressing the following issues:²
 - What types of data will the project generate/collect?
 - What standards will be used?

² For further guidance on research data management, please refer to the H2020 Online Manual on the Participant Portal.

HORIZONTE 2020 | Programa de Trabalho – Critérios de avaliação

EXCELÊNCIA

- **Clareza** dos objectivos
- **Solidez** do conceito, incluindo considerações transdisciplinares
- **Credibilidade** da abordagem proposta
- Progresso **além do estado da arte**

IMPACTO

- **Impacto expectável** evidenciado no Programa de Trabalhos
- **Aumento da capacidade de inovação** e a integração de novo conhecimento
- Fortalecimento da **competitividade** e o **crescimento das empresas** através do desenvolvimento de inovação que vá ao encontro às necessidades dos mercados Europeu e global
- Medidas para **disseminar e explorar os resultados (disseminação, comunicação, exploração dos resultados,...)**, envolvimento dos utilizadores finais da I&I

muito importante

IMPLEMENTAÇÃO

- Coerência e eficiência do plano de trabalhos (incluindo a descrição dos *work packages*) ... alocação de tarefas, recursos
- Competência, experiência e complementaridade dos participantes e do consórcio
- Adequabilidade das estruturas e procedimentos de gestão ... gestão de risco e planos de contingência

Prepare e consolide o seu caminho no H2020

Um participante tem **2 formas de se envolver numa candidatura:**

- Partir de uma ideia própria, **como coordenador**
- **Unir-se a um consórcio** em formação, liderado por terceiros

Identificar a *call*

Consultar os documentos

Preparar a proposta

Submeter

- Texto do *WP* e tópicos
- Regras de participação e disseminação
- Anexos do *WP* 2014/15
- *H2020 Grants Manual*

- Reunir consórcio
- Definição e repartição de tarefas
- Preparação da informação administrativa e técnica
- Revisão da proposta

HORIZONTE 2020 | Participe como perito avaliador

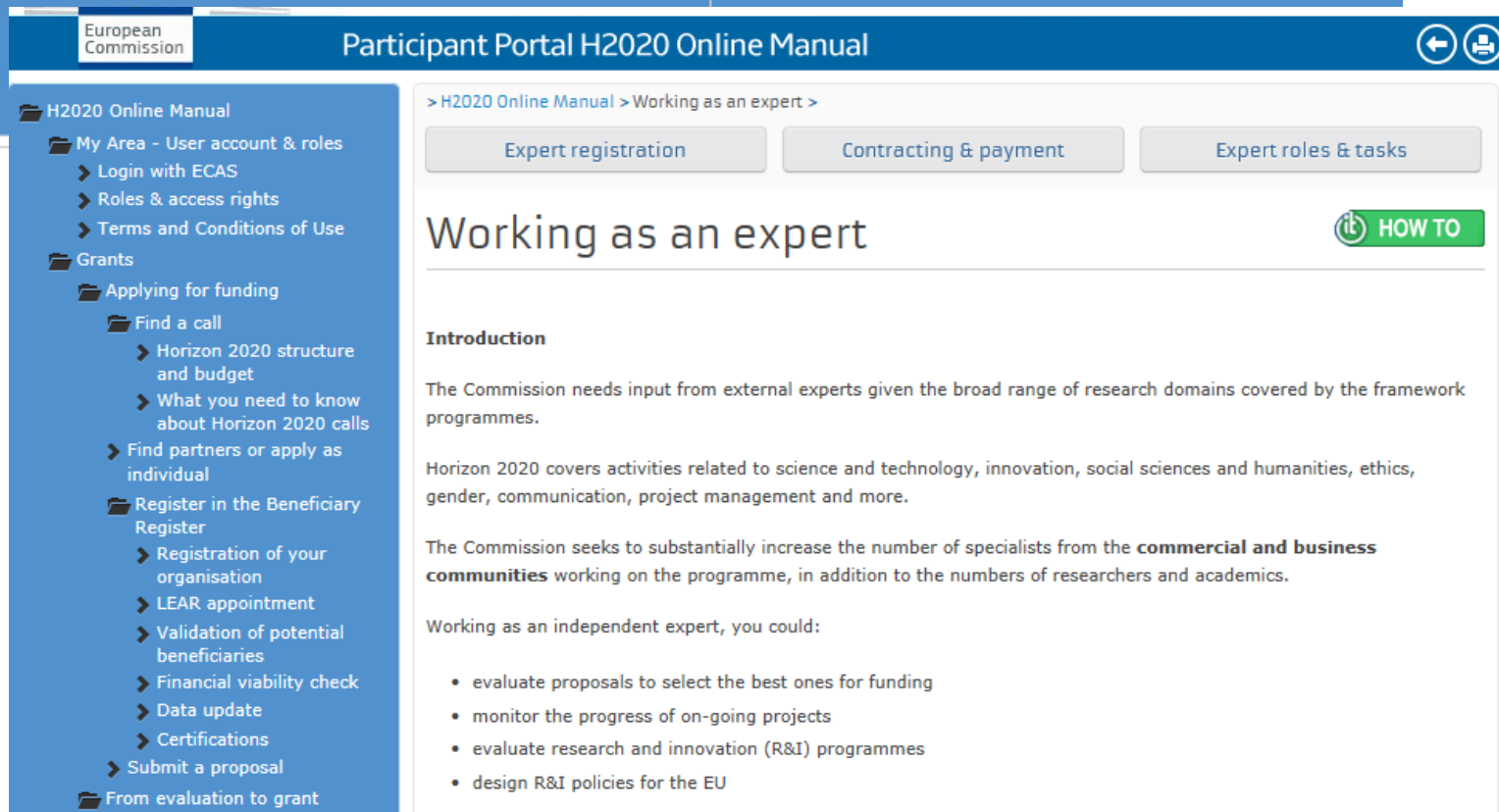


WORK AS AN EXPERT

WORK AS AN EXPERT

Independent experts are appointed by the Commission to help us evaluate proposals, review projects and monitor programmes and policies.

[More...](#)



The screenshot shows the 'Participant Portal H2020 Online Manual' interface. At the top, there is a navigation bar with the European Commission logo and the title 'Participant Portal H2020 Online Manual'. Below this, a breadcrumb trail reads '> H2020 Online Manual > Working as an expert >'. Three buttons are visible: 'Expert registration', 'Contracting & payment', and 'Expert roles & tasks'. The main heading is 'Working as an expert', accompanied by a 'HOW TO' icon. The content is organized into sections: 'Introduction', which explains the Commission's need for external experts and the scope of Horizon 2020; a list of roles for independent experts; and a list of tasks they can perform.

European Commission Participant Portal H2020 Online Manual

> H2020 Online Manual > Working as an expert >

Expert registration Contracting & payment Expert roles & tasks

Working as an expert

Introduction

The Commission needs input from external experts given the broad range of research domains covered by the framework programmes.

Horizon 2020 covers activities related to science and technology, innovation, social sciences and humanities, ethics, gender, communication, project management and more.

The Commission seeks to substantially increase the number of specialists from the **commercial and business communities** working on the programme, in addition to the numbers of researchers and academics.

Working as an independent expert, you could:

- evaluate proposals to select the best ones for funding
- monitor the progress of on-going projects
- evaluate research and innovation (R&I) programmes
- design R&I policies for the EU

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 - Financial viability check
 - Data update
 - Certifications
 - Submit a proposal
 - From evaluation to grant

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