

## Gabinete de Promoção dos Programa Quadro ID&I

# **European Research Council (ERC): Challenges and Opportunities**

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# **European Research Council**









# ERC - Since 2007... A success story!

- √ Highly recognized by the research community
- **✓** Over 5000 top researchers funded (celebration on 16 Jun)
- **√** Growing number of articles in scientific journals and prizes
- √ Highly competitive (success rate ~10%)
- **✓ Efficient and fast grant management**



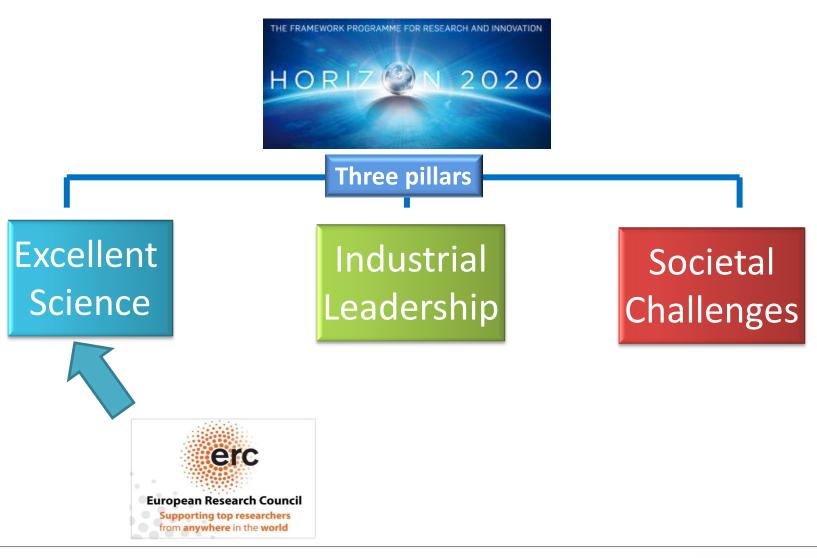
**✓ Eleven Nobel Prize Laureates** 







### **HORIZON 2020**









### **ERC** - Grant schemes

Starting Grants
(2-7 years after PhD)
up to € 2.0 Mio
for 5 years

### **Consolidator Grants**

(7-12 years after PhD) up to € 2.75 Mio for 5 years

### **Advanced Grants**

track-record of significant research achievements in the last 10 years up to € 3.5 Mio for 5 years







# **General aspects**

The PI may be of any age and nationality and may reside in any country in the world at the time of the application

Research must be conducted in a public or private research organization (Host Institution/HI) located in one of the EU Member States or Associate Countries

**Portability**: It is expected that the PI establishes and concludes the funded research project in association with the original host. However, the ERC grants allow PIs to transfer their projects from one host to another in the course of the project.

Research teams are headed by **a single Principal Investigator (PI)** + additional team members (6 members average) - it is <u>neither a network</u>, <u>nor a 'research</u> consortium'







### What kind of research can be funded?

Any field of research with particular emphasis on the frontiers of science, scholarship and engineering

### **ERC** encourages:

- Proposals of an <u>interdisciplinary nature</u> which cross the boundaries between different fields of research
- Pioneering proposals addressing <u>new and emerging fields of</u> <u>research</u>
- Proposals introducing <u>unconventional</u>, <u>innovative approaches and</u> <u>scientific inventions</u>







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### Research with a high-gain/high-risk pioneering projects

If successful, the payoffs will be very significant, but there is a higher-thannormal risk that the research project does not entirely fulfil its aims

Evaluators will pay particular attention to the **ground-breaking** nature of the research project and the **feasibility** of the outlined scientific approach







## **Starting and Consolidator Grants**

Starting Grant	Consolidator Grant
The PI must have been awarded his/her first	The PI must have been awarded his/her first
PhD at least 2 and up to 7 years prior to the	PhD at least 7 and up to 12 years prior to
publication date of the call for proposals	the publication date of the call for proposals

Extensions to this period may be allowed in case of eligible career breaks

Up to € 1.5 million	up to <b>€ 2 million</b>
(in some circumstances up to € 2 million)	(in some circumstances up to € 2.75 million)
(100% direct costs + 25% indirect costs)	(100% direct costs + 25% indirect costs)

Duration: up to 5 years

PIs expected to spend a minimum **50%** of their total working time on the ERC project and a minimum of **50%** of their total working time in an EU Member State or Associated Country

- Maternity (18 months for each child born before or after the PhD award); NO LIMITS!!!!
- Paternity (documented amount of paternity leave actually taken for each child born <u>before or after</u> the PhD award);
- **Long-term illness, clinical training or national service** (the effective elapsed time since the award of the first PhD documented of leave actually taken by the PI for each incident which occurred <u>after</u> the PhD)







### What makes a competitive candidate?

### **Competitive Starting Grant**

Must have already shown the potential for Must have already shown research research independence and evidence of maturity. e.g.: at least one important publication without the participation of their PhD supervisor

### **Competitive Consolidator Grant**

Independence and evidence of research maturity.

e.g.: **several** important publications without the participation of their PhD supervisor

Promising track-record of early achievements appropriate to their research field and career stage.

e.g.: significant publications (main author) in major international peer-reviewed multidisciplinary scientific journals, or in the leading international peer-reviewed journals of their respective field; invited presentations in well-established international conferences, granted patents, awards, prizes etc.

"Applicants are encouraged to evaluate their track-record and leadership potential against the above-mentioned benchmarks that have been adopted by the Scientific Council, in order to decide for themselves their likelihood for success, thus avoiding to invest effort in proposals that are very unlikely to succeed."







Application Form			
Part A To be filled out online	Administrative information about the project and the Principal Investigator		
Part B – Section 1 PDF to be uploaded To be evaluated in step 1	Extended Synopsis 5 pages	Concise presentation of the scientific proposal, with particular attention to the ground-breaking nature of the research project and the feasibility of the outlined scientific approach.	
	Curriculum Vitae 2 pages	Standard academic and research record and succinct 'funding ID'	
	Early achievements track-record 2 pages	publications; invited presentations in well- established international conferences, granted patents, awards, prizes etc	
Part B - Section 2 PDF to be uploaded To be evaluated in step 2	Scientific Proposal 15 pages	<ul><li>a. State of the art and objectives</li><li>b. Methodology</li><li>c. Resources (incl. project costs)</li><li>d. Ethical and Security sensitivity Issues</li></ul>	







### **Advanced Grants**

### **Advanced Grant**

Applicants must be **scientifically independent** and have a recent research track-record and profile which identifies them as **leaders in their respective field(s) of research** 

up to € 2.5 million per grant
(in some circumstances up to € 3.5 million per grant)
(100% direct costs + 25% indirect costs)

Duration: up to 5 years

PIs expected to spend a minimum **30%** of their total working time on the ERC project and a minimum of **50%** of their total working time in an EU Member State or Associated Country







### **Application Form**

### Similar to Starting and Consolidator, except:

# Part B – Section 1 10-year track-record 2 pages

Activity over the past 10 years (dated from the deadline of the call):

- 1. Highlight ten representative publications, as senior author
- 2.3 major research monographs, chapters in collective volumes and any translations thereof
- 3.5 granted patents (if applicable)
- 4.10 invited presentations to peer-reviewed, internationally established conferences and/or international advanced schools (if applicable)
- 5.3 research expeditions that the applicant has led (if applicable)
- 6.3 organisation of International conferences in the field of the applicant (membership in the steering and/or programme committee) (if applicable)
- 7.International Prizes/Awards/Academy memberships (if applicable)
- 8. Major contributions to early careers of excellent researchers (if applicable)
- 9. Examples of leadership in industrial innovation (if applicable)







### **Evaluation**

### **Excellence** is the sole criterion for selection

# Under revision

### **Evaluation Panels**

**25 peer review evaluation panels** (ERC panels), subdivided in to three main research domains:

- ✓ Physical Sciences and Engineering (10 Panels)
- ✓ Life Sciences (9 Panels)
- ✓ Social Sciences and Humanities (6 Panels)

Panel chair and members chosen by the Scientific Council







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### **Proof-of-Concept**

bridging gap between research – earliest stage of marketable innovation up to €150,000 for ERC grant holders for 12 months







# ERC @Portugal... increasing the success!!!

	<b>FP7</b> (2007-2013)	<b>H2020</b> (2014)	Total
StG	21	9	30
CoG	4	6	10
AdG	9	2	11
Total	34	17	51
Budget (M €)	54.67	31.34	86.01







# **ERC** and non MS or Associate Countries - Agreements

### National Science Foundation (NSF), USA - 2012

NSF researchers in the U.S. to come to Europe to join the teams of ERC grantees Travel costs for the U.S. scientists and their families covered by the NSF, their NSF grant will continue to run during their visit in Europe.

### National Scientific Technical Research Council (CONICET), Argentina - 2015

op scientists of the CONICET to be part of ERC - funded teams for 6-12 months Researchers from Argentina, who will become part of ERC teams for a certain period, will continue receiving their salaries from CONICET whilst the ERC grant will cover the subsistence costs during their stay in Europe.

### Japan Society for the Promotion of Science (JSPS), 2015

JSPS researchers in the Japan to come to Europe to join the teams of ERC grantees
The JSPS Fellows who will come for these scientific visits in Europe will continue to receive
remuneration from JSPS

### **China -** Under preparation







# **Work Programme 2016**

# Publication on 22<sup>nd</sup> July







# Main calls from the 2016 WP (indicative)

	Starting Grant	Consolidator Grant	Advanced Grant
Call identifier	ERC-2016-StG	ERC-2016-CoG	ERC-2016-AdG
Call Opens	23 July 2015	15 October 2015	24 May 2016
Deadline	17 November 2015	2 February 2016	1 September 2016
Budget million EUR (estimated grants)	465 (355)	630 (355)	540 (240)
Planned dates to inform applicants	29 April 2016 1 September 2016	1 July 2016 1 December 2016	16 January 2017 16 March 2017
Indicative date for signature of grant agreements	1 January 2017	1 April 2017	16 July 2017





### Restrictions on submission for 2016

- PIs may submit proposals to different ERC grant calls under the same WP, but only the first eligible proposal will be evaluated;
- A PI whose proposal was evaluated as **category C** in the StG, CoG or AdG calls for proposals under WP 2014 or 2015 <u>may not</u> submit a proposal WP 2016 and 2016;
- A PI whose proposal was evaluated as category B at step 2 in the StG, CoG or AdG calls for proposals under WP 201 may submit a proposal WP 2015;
- A PI whose proposal was evaluated as category B at step 1 in the StG, CoG or AdG calls for proposals under WP 201 may not submit a proposal WP 2015;
- New restrictions on applications to PI whose proposals have been rejected on grounds of breach of research integrity







### Restrictions on submission for 2016

- A researcher may participate as PI or Co-PI in only one ERC frontier research project at any one time;
- The PI of an ERC project may not submit a proposal for another ERC grant, unless the existing project ends no more than two years after the call deadline;
- A PI who is Panel Member for a 2016 ERC call or who served as a Panel Member for a 2014 ERC call may not apply to a 2016 ERC call for the same type of grant.







# If you are thinking about applying...

**Assess which is the best time for you to apply**: have in mind the resubmission rules

### Read carefully:

- Work Programme
- Information for Applicants
- Consult previous ERC projects (<a href="http://erc.europa.eu/projects-and-results/erc-funded-projects">http://erc.europa.eu/projects-and-results/erc-funded-projects</a>)

### **Contact the National Contact Points:**

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### For additional information...

European Research Council (ERC)

http://erc.europa.eu

To subscribe to ERC newsletter and news alerts http://erc.europa.eu/keep-updated-erc

Video

http://erc.europa.eu/get\_video/4009?height=353&width=650





